

A universal asymptotic regime in the hyperbolic nonlinear Schrödinger equation

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Abstract

The appearance of a fundamental long-time asymptotic regime in the two space one time dimensional hyperbolic nonlinear Schrödinger (HNLS) equation is discussed. Based on analytical and extensive numerical simulations an approximate self-similar solution is found for a wide range of initial conditions – essentially for initial lumps of small to moderate energy. Even relatively large initial amplitudes, which imply strong nonlinear effects, eventually lead to local structures resembling those of the self-similar solution, with appropriate small modifications. These modifications are important in order to properly capture the behavior of the phase of the solution. This solution has aspects that suggest it is a universal attractor emanating from wide ranges of initial data.

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1 Introduction

The (2+1)-dimensional hyperbolic nonlinear Schrödinger (HNLS) equation,

$$i\partial_Z\Phi + (\partial_{xx} - \partial_{yy})\Phi + |\Phi|^2\Phi = 0, \quad (1.1)$$

describes many types of physical phenomena including electromagnetic pulse propagation in optical waveguides, e.g. plasmons propagating along a flat dielectric/metal interface [1], cyclotron waves in plasmas [41, 37, 51] and surface waves in deep water [4, 51, 53]. Recently it has been suggested that the HNLS equation may explain the existence of rogue waves [31, 45]. In the plasmon application the variable Z corresponds to propagation distance, x to the transverse spatial direction, y to a retarded time and Φ to the complex amplitude of the electromagnetic field [1]. In water wave applications Z typically is related to physical time shifted by the group velocity while x and y correspond to the horizontal dimensions; see e.g. [4]. For other applications x , y and Z (e.g. plasma waves [51]) are related to the three physical spatial axes. It is important in all these applications to study the various long-time propagation regimes in HNLS equation.

An exact similarity solution of eq. (1.1) was found in [47] and independently in [4]. It has the form

$$\Phi = \frac{\Lambda_0}{Z} \exp\left(i\left[s + \theta_0 - \frac{\Lambda_0^2}{Z}\right]\right), \quad s = \frac{x^2 - y^2}{4Z}, \quad (1.2)$$

where s defined above is the similarity variable.

The main result of this paper is to show that the solution eq. (1.2), with a remarkably small modification, appears at long times (i.e. large Z) in the central zone of the (x, y) -plane for a wide range of initial conditions. This is basically the case for all initial conditions such that some lump of energy is initially present in the neighborhood of the origin in the (x, y) -plane; hence we term this solution as universal. The form of the modified similarity solution is found to be

$$\Phi = \frac{\Lambda_0}{Z} \exp\left(i\left[s + \theta_0 + \frac{\eta_0 - \Lambda_0^2}{Z}\right]\right), \quad (1.2A)$$

where $\theta_0, \Lambda_0, \eta_0$ depend on the initial conditions. This is more fully discussed in the sections below. We only remark that we do not consider either rapidly varying or large initial data; this is consistent with the derivation of the HNLS from physical principles where all terms are of the same order.

Apart from the linear and nonlinear stability analysis cf. [54, 44, 8, 39, 40] (and also numerous references therein) which are usually dedicated to the stability of exact one-dimensional solutions of the 1D-NLS equation (e.g. solitons) (and thus also exact solutions of the HNLS eq.),

there are relatively few analytical results in the literature regarding the general behavior of solutions of the HNLS equation, though some results can be found in [37, 33, 7, 24, 9, 11, 30, 45].

Studies conducted in the 1970s-1990s are summarized in the reviews [44, 8] and the book [51] where both elliptic NLS and HNLS in various dimensions are considered.

Since the seminal work [54] it is known that one-dimensional solitons in multidimensional NLS equations are unstable with respect to transversal perturbations, see also e.g. [5]. Recently there has been more research regarding the types of instability and the growth rates of various instabilities in the HNLS eq.; see e.g. [40, 39] and their associated experimental demonstration [25, 26, 27].

It is known that nonelliptic NLS equations do not admit localized traveling wave solutions [24]. This is consistent with our observations that lumps of energy in the HNLS eq. eventually disperse, and in turn, lead to the universal asymptotic solution described here. The underlying structure can consist of many localized hyperbolas. Sometimes we observe a number of such hyperbolic structures with different centers, partly superimposed. These may be preceded by more intricate structures at intermediate “times” Z .

Apart from analyzing the development of instabilities of one-dimensional solutions [25, 26, 27], there has been some numerical and experimental research on the HNLS equation [33, 46, 42, 32, 13, 36, 30]. There has also been a number of studies of the (3+1)-D HNLS eq., see e.g. [43, 8, 12, 13, 17] and references therein. The 3D case has attracted researchers due to a wide variety of applications ranging from short (femtosecond) laser beams in condensed media [43, 12, 15, 17, 50], cyclotron waves in plasma [41, 47, 37, 10, 12, 13] and high energy nonlinear electromagnetic phenomena [49].

Given its numerous applications (surface waves in deep water, optical pulses in planar waveguides etc.) and its fundamental role as an intrinsically simple (2+1)-dimensional nonlinear equation, the HNLS eq. is a laboratory for novel types of phenomena and its behavior can shed light on related problems such as the more complicated (3+1)-D HNLS eq. Indeed many processes associated with the (3+1)-D HNLS eq. such as pulse splitting, multi-filamentation, fragmentation, so-called snake and neck instabilities and nonlinear X-waves, have qualitative counterparts in the HNLS eq. [32, 12, 13, 25, 30, 26, 27].

Interestingly, there is still controversy as to whether there exists a catastrophic collapse, or blowup with infinite singularity formation in the (3+1)-D HNLS eq. [34, 20, 12, 55, 56, 13]. In this respect, the situation with the HNLS eq. is clearer. There is a simple convincing, though non-rigorous, argument regarding the absence of catastrophic collapse in this case, see e.g. [20]. The HNLS eq. without the second-derivative defocusing term ($\partial_{yy}\Phi$ in eq. (1.1)) is the integrable 1D NLS eq. which is known to have no collapse (and possess multisoliton solutions). Adding the second-derivative term which causes defocusing in the transversal direction should only improve the situation, further dispersing the energy. There are some rigorous quantitative arguments [9], based on virial inequalities (i.e. inequalities for second moments or variances and their Z -derivatives), which show that total collapse, i.e. finite

energy concentration on sets of measure zero (points or lines) is impossible for the (2+1)-D HNLS eq. This does not rigorously rule out a blowup singularity formation but, together with the qualitative argument above, makes it much less plausible.

Virial-based arguments like those in [9] suggest that the HNLS eq. favors structures stretched along the defocusing direction leading to hyperbolas in the (x, y) -plane. This is similar to X-wave phenomena cf. [15, 16, 30] which exhibit characteristic X-shapes in the (x, y) -plane formed by the lines $x = \pm y$ and characteristic hyperbolas asymptotic to these lines. While such existing exact solutions have infinite energy (i.e. infinite L^2 -norm $\int \int |\Phi|^2 dx dy$), their finite-energy counterparts have been observed in experiments on electromagnetic beam propagation; they appear in the central cores of the beams and split at sufficiently large propagation distance Z , see e.g. [15, 16]. When the phenomenon is described by the HNLS equation [12], the end result of their splitting must be the hyperbolic structure which we observe numerically and describe analytically below.

Recently some exact X-wave solutions of the HNLS eq. and the (3+1)-D HNLS equation with an additional supporting potential have been found [19] but they still have infinite energy. Also, some infinite energy standing wave solutions were proven to exist in [35]. The existence of certain types of bounded and continuous hyperbolically radial standing and self-similar waves was established in [30] where the asymptotics of such solutions at large hyperbolic radius, i.e. large $|x^2 - y^2|$, are computed. The region we consider here is different: $x^2 \pm y^2 \lesssim 4Z$ i.e. the center zone of the pulse. The scenario, also confirmed by our numerical studies is that initial localized lumps asymptotically tend to a similarity solution valid in the above central zone and falling off sharply (exponentially) beyond it. Although the similarity solution has infinite energy, other small amplitude solutions, which can be obtained by WKB methods and matched to these similarity solutions, exist in regimes away from the core; cf. e.g. [5].

A number of exact solutions based on symmetry reductions using Lie group invariance methods, have been constructed for both the NLS and HNLS eq. [52, 23, 22, 21, 14, 38]; this was also recently revisited in application to HNLS eq. in [28]. We summarize some of this work in Appendix A. Some of these similarity reductions and exact solutions may be relevant at intermediate stages before reaching the long-time regime. They may allow for a better quantitative description of various phenomena like self-focusing, splitting, pattern formation. The descriptions available so far in literature [7, 10, 8, 12] are only approximate and an improved understanding might be reached by obtaining more sophisticated exact solutions. This question deserves further, more systematic study and we plan to consider it elsewhere. We emphasize, however, that our current results are of importance for these questions since they allow one to select among the many complicated transient solutions those which are asymptotically close to the universal regime described here.

Of the approximate methods applied to all types of NLS equations, variational methods, though not rigorous, have proven to be very popular. They were used to construct approximate solutions for both the HNLS [7, 45] and the (3+1)-D HNLS equations [10, 12, 13]. They

were used in [7, 10] to quantitatively understand the self-focusing and pulse-splitting phenomena and in [45] to investigate possible mechanisms of generating rogue waves in HNLS. While the range of validity of a variational ansatz remains to be rigorously established, the results of using the Gaussian ansatz of [7, 45] for HNLS can be obtained from a usual approximate solution where the validity and the precision of the approximation are completely clarified, see Appendix B. Thus, the variational approximation can be useful e.g. at long times in Z , and can be related to the universal solution eq. (1.2A), e.g. the amplitude there also may decay as the inverse of time or propagation distance Z . However, some limitations of this approach are exposed when we compare the phases in Appendix B.

It is well-known that similarity solutions play a crucial role in the long time asymptotic solution of certain integrable nonlinear dispersive wave equations [5, 2]. Equations which are not known to be integrable, such as the HNLS equation have been less intensively studied from this point of view. For example, the one dimensional integrable NLS equation

$$iu_z + u_{xx} + \sigma|u|^2u = 0 \quad (1.3)$$

has the similarity solution

$$u(x, z) = \frac{A}{z^{1/2}} \exp(i\theta) \quad \text{where} \quad \theta = \frac{x^2}{4z} + \sigma A^2 \log z + \theta_0 \quad (1.4)$$

In 1976 Manakov showed that for $\sigma = -1$ as $z \rightarrow \infty$ the solution tended to the above similarity solution in the central core region. Ablowitz and Segur showed how to include suitable perturbations and solitons (when $\sigma = +1$) cf. [5].

It was also shown that similarity solutions played key roles in the long time limit of other well-known integrable PDEs, e.g. the Korteweg-deVries (KdV) and modified KdV (mKdV) equations [6, 48]. In the case of the mKdV equation

$$u_t - u^2u_x + u_{xxx} = 0 \quad (1.5)$$

with decaying initial data, it has the similarity reduction: $u(x, t) = w(\eta)/(3t^{1/3})$ where w satisfies the 2nd Painlevé equation

$$w'' - \eta w - 2w^3 = 0 \quad (1.6)$$

Here the solution u tends (up to the factor $3t^{1/3}$) to a solution of the Painlevé equation (1.6) (in the case of KdV, a related ODE) in the long time limit. Indeed, Ablowitz, Kruskal and Segur [3] showed that the decaying solution of mKdV equation had the following property. Corresponding to the boundary condition

$$w(\eta) \sim r_0 \text{Ai}(\eta), \text{ as } \eta \rightarrow +\infty \quad (1.7)$$

where $\text{Ai}(\eta)$ is the well-known Airy function, there were three types of behavior as $\eta \rightarrow -\infty$.

i) For $|r_0| < 1$ (subcritical),

$$w(\eta) \sim d_0(-\eta)^{1/4} \sin \left(\frac{2}{3}(-\eta)^{3/2} - \frac{3}{4}d_0^2 \log(-\eta) + \theta_0 \right) \quad (1.8)$$

where $d_0^2 = -\frac{1}{\pi} \log(1 - r_0^2)$; the formula for $\theta_0 = \theta_0(r_0)$ is more complicated; see [48].

ii) For $|r_0| = 1$ (critical),

$$w(\eta) \sim \text{Sgn}(r_0) \left((-\eta/2)^{1/2} - \frac{(-\eta)^{-5/2}}{2^{7/2}} + O((-\eta)^{-11/2}) \right) \quad (1.9)$$

iii) When $|r_0| > 1$ (overcritical),

$$w(\eta) \sim \text{Sgn}(r_0) \left(\frac{1}{\eta - \eta_0} - \frac{\eta_0}{6}(\eta - \eta_0) + O((\eta - \eta_0)^2) \right) \quad (1.10)$$

where $\eta_0 = \eta_0(r_0)$. Subsequently, Hastings and McLeod [29] studied case (ii) in detail.

What is clear from the above is the important role similarity solutions play in long time evolution of nonlinear dispersive wave equations.

The plan of the paper is the following. In section 2 we compute the perturbative solution of the HNLS equation for the Gaussian lump initial condition keeping first order in nonlinearity and determine the form of corrections to its exact solution eq. (1.2) which is relevant for long-time (large Z) asymptotics. Section 2 also shows how focusing and defocusing can be described for moderate amplitudes in the the HNLS equation. In section 3 we present the general large Z asymptotics for both the linear and nonlinear equation, assuming in the last case that the solution falls off as $1/Z$ in the central region. Section 4 presents extensive numerical results demonstrating the appearance of the solution eq. (1.2A) with the corrections discussed in sections 2 and 3. Section 5 is dedicated to the discussion of the results. In appendix A we present some exact similarity reductions of the HNLS equation which may be useful for understanding the complicated intermediate dynamics of the HNLS eq. prior to the long-time regime. Appendix B shows what kind of approximation underlies the variational approach subject to a Gaussian ansatz; cf. [7, 45], and how it relates to the solutions (1.2-1.2A).

2 A perturbative calculation

It is instructive to compute the first order corrections due to the nonlinearity to the exact solution of the linearized HNLS equation (see also [1]) i.e. to consider

$$\Phi \approx \Phi_L + \Phi_n, \quad (2.1)$$

where Φ_L satisfies

$$i\partial_Z\Phi_L + (\partial_{xx} - \partial_{yy})\Phi_L = 0, \quad (2.2)$$

and Φ_n is found as the first order perturbation to Φ_L from

$$i\partial_Z\Phi_n + (\partial_{xx} - \partial_{yy})\Phi_n = -|\Phi_L|^2\Phi_L. \quad (2.3)$$

If one takes the Gaussian initial condition $\Phi(x, y, Z = 0) = \Phi_L(x, y, Z = 0) = A_0 e^{-x^2 - y^2}$, A_0 constant, then the exact solution of eq. (2.2) is

$$\Phi_L(x, y, Z) = \frac{A_0}{\sqrt{16Z^2 + 1}} e^{-\frac{x^2 + y^2}{16Z^2 + 1}} \cdot e^{\frac{4iZ(x^2 - y^2)}{16Z^2 + 1}} = A_L(x, y, Z) e^{i\theta_L(x, y, Z)}. \quad (2.4)$$

Using Fourier transform techniques, one obtains the exact formula for Φ_n from eq. (2.3) and eq. (2.4) with $\Phi_n(x, y, Z = 0) = 0$

$$\Phi_n(x, y, Z) = \frac{iA_0^3}{4} \int_0^Z \frac{dZ'}{\sqrt{(16Z'^2 + 1)(16Z'^2 + 9)(R^2 + J^2)}} \cdot e^{-\frac{R(x^2 + y^2)}{4(R^2 + J^2)}} \cdot e^{\frac{iJ(x^2 - y^2)}{4(R^2 + J^2)}}, \quad (2.5)$$

where we denote

$$R = R(Z') = \frac{3(16Z'^2 + 1)}{4(16Z'^2 + 9)}, \quad J = J(Z', Z) = Z - \frac{8Z'}{16Z'^2 + 9}. \quad (2.6)$$

Next we consider the asymptotics of the exact perturbative expression eq. (2.5) as Z becomes large. It is then convenient to rewrite eq. (2.5) as

$$\Phi_n(x, y, Z) = I_1 - I_2,$$

where

$$I_1 = \frac{iA_0^3}{4} \int_0^\infty \frac{dZ'}{\sqrt{(16Z'^2 + 1)(16Z'^2 + 9)(R^2 + J^2)}} \cdot e^{-\frac{R(x^2 + y^2)}{4(R^2 + J^2)}} \cdot e^{\frac{iJ(x^2 - y^2)}{4(R^2 + J^2)}} \quad (2.7)$$

and

$$I_2 = \frac{iA_0^3}{16Z^2} \int_4^\infty \frac{du}{\sqrt{(u^2 + 1/Z^2)(u^2 + 9/Z^2)((R/Z)^2 + (J/Z)^2)}} \cdot e^{-\frac{R(x^2 + y^2)}{4(R^2 + J^2)}} \cdot e^{\frac{iJ(x^2 - y^2)}{4(R^2 + J^2)}}, \quad (2.8)$$

where $u = 4Z'/Z$. In the first integral I_1 , we change integration variable to

$$\zeta = \frac{16Z'^2 + 1}{16Z'^2 + 9}, \quad 4Z' = \sqrt{\frac{9\zeta - 1}{1 - \zeta}}. \quad (2.9)$$

Then I_1 can be rewritten as

$$I_1 = \frac{iA_0^3 e^{\frac{i(x^2-y^2)}{4Z}}}{32Z} \int_{1/9}^1 \frac{d\zeta}{\sqrt{\zeta(1-\zeta)(9\zeta-1)}} \cdot \frac{e^{-\frac{3(x^2+y^2)\zeta}{16Z^2g(\zeta,Z)} + \frac{i(x^2-y^2)(u_1-u_2/Z)}{4Z^2g(\zeta,Z)}}}{\sqrt{g(\zeta,Z)}}, \quad (2.10)$$

$$g(\zeta, Z) = 1 - \frac{2u_1}{Z} + \frac{u_2}{Z^2}, \quad u_1 = u_1(\zeta) = \frac{\sqrt{(9\zeta-1)(1-\zeta)}}{4}, \quad u_2 = u_1^2 + \frac{9\zeta^2}{16}.$$

The last formula is convenient to expand in inverse powers of Z . Restricting the consideration to the central zone $x^2 + y^2 \lesssim 4Z$, we find

$$I_1 = \frac{iA_0^3 e^{\frac{i(x^2-y^2)}{4Z}}}{32Z} \int_{1/9}^1 \frac{d\zeta}{\sqrt{\zeta(1-\zeta)(9\zeta-1)}} \cdot \left(1 + \frac{u_1}{Z} - \frac{3(x^2+y^2)\zeta}{16Z^2} + \frac{i(x^2-y^2)u_1}{4Z^2} + \frac{3u_1^2 - u_2}{2Z^2} + O\left(\frac{1}{Z^3}\right) \right). \quad (2.11)$$

As for the second integral I_2 , since

$$J = Z \left(1 - \frac{2}{Z^2 u (1 + 9/(Z^2 u^2))} \right), \quad R = \frac{3}{4} \frac{(1 + \frac{1}{Z^2 u^2})}{(1 + \frac{9}{Z^2 u^2})},$$

it is easy to see that, for large Z , I_2 is expanded as

$$I_2 = \frac{iA_0^3 e^{\frac{i(x^2-y^2)}{4Z}}}{16Z^2} \int_4^\infty \frac{du}{u^2} \left(1 + O\left(\frac{1}{Z^2}\right) \right) = \frac{iA_0^3 e^{\frac{i(x^2-y^2)}{4Z}}}{64Z^2} \left(1 + O\left(\frac{1}{Z^2}\right) \right). \quad (2.12)$$

Thus, gathering the contributions of I_1 and I_2 , we obtain

$$\Phi_n = \frac{iA_0^3 e^{\frac{i(x^2-y^2)}{4Z}}}{32Z} \left(C_1 - \frac{1}{6Z} - \frac{3C_2(x^2+y^2)}{16Z^2} + \frac{i(x^2-y^2)}{12Z^2} + \frac{C_3}{Z^2} + O\left(\frac{1}{Z^3}\right) \right), \quad (2.13)$$

where C_1 , C_2 and C_3 are numerical constants given by

$$C_1 = \int_{1/9}^1 \frac{d\zeta}{\sqrt{\zeta(1-\zeta)(9\zeta-1)}} \approx 1.68575, \quad C_2 = \int_{1/9}^1 \frac{\sqrt{\zeta} d\zeta}{\sqrt{(1-\zeta)(9\zeta-1)}} \approx 0.742494, \\ C_3 = \frac{1}{16} \int_{1/9}^1 \frac{d\zeta}{\sqrt{\zeta(1-\zeta)(9\zeta-1)}} \left((9\zeta-1)(1-\zeta) - \frac{9\zeta^2}{2} \right) \approx -0.05268. \quad (2.14)$$

Similarly, expanding the exact solution eq. (2.4) of the linearized equation in powers of $1/Z$ for $Z \gg 1$, we find

$$\Phi_L = \frac{A_0 e^{\frac{i(x^2-y^2)}{4Z}}}{4Z} \left(1 - \frac{x^2+y^2}{16Z^2} - \frac{1}{32Z^2} - \frac{i(x^2-y^2)}{64Z^3} + O\left(\frac{1}{Z^4}\right) \right). \quad (2.15)$$

Adding eqs. (2.15) and (2.13), we obtain the large Z asymptotics of Φ for the Gaussian initial condition,

$$\begin{aligned} \Phi \approx \Phi_L + \Phi_n = & \frac{A_0 e^{\frac{i(x^2-y^2)}{4Z}}}{4Z} \left(1 + \frac{iC_1 A_0^2}{8} - \frac{iA_0^2}{48Z} - \right. \\ & \left. - \frac{x^2+y^2}{16Z^2} \left(1 + \frac{3C_2 i A_0^2}{8} \right) - \frac{A_0^2(x^2-y^2)}{96Z^2} - \frac{1-4C_3 i A_0^2}{32Z^2} + O\left(\frac{1}{Z^3}\right) \right). \end{aligned} \quad (2.16)$$

It is illuminating to present the factor in the parentheses as

$$1 + \mu + i\nu = \rho e^{i\sigma}, \quad (2.17)$$

where

$$\mu = -\frac{x^2+y^2}{16Z^2} - \frac{A_0^2(x^2-y^2)}{96Z^2} - \frac{1}{32Z^2} + O\left(\frac{1}{Z^3}\right), \quad (2.18)$$

$$\nu = \frac{C_1 A_0^2}{8} - \frac{A_0^2}{48Z} - \frac{3C_2 A_0^2(x^2+y^2)}{128Z^2} + \frac{C_3 i A_0^2}{8Z^2} + O\left(\frac{1}{Z^3}\right). \quad (2.19)$$

Then

$$\rho^2 = (1 + \mu)^2 + \nu^2, \quad \sigma = \arctan \frac{\nu}{1 + \mu}. \quad (2.20)$$

Keeping the terms up to order $\sim 1/Z^2$ in ρ^2 and σ , we find

$$\begin{aligned} \rho^2 = & 1 + \frac{C_1^2 A_0^4}{64} - \frac{C_1 A_0^4}{192Z} - \\ & - \frac{x^2+y^2}{8Z^2} \left(1 + \frac{3C_1 C_2 A_0^4}{64} \right) - \frac{A_0^2(x^2-y^2)}{48Z^2} - \frac{1}{16Z^2} \left(1 - \frac{(72C_1 C_3 + 1)A_0^4}{144} \right) + O\left(\frac{1}{Z^3}\right), \quad (2.21) \\ \sigma = & \arctan \frac{C_1 A_0^2}{8} + \frac{\left(-\frac{A_0^2}{48Z} + \frac{(C_1 - 3C_2)A_0^2(x^2+y^2)}{128Z^2} + \frac{C_1 A_0^4(x^2-y^2)}{768Z^2} + (C_3 + \frac{C_1}{32}) \frac{A_0^2}{8Z^2} \right)}{1 + (C_1 A_0^2/8)^2} - \end{aligned}$$

$$-\frac{C_1 A_0^6}{8 \cdot (48)^2 Z^2 (1 + (C_1 A_0^2/8)^2)^2} + O\left(\frac{1}{Z^3}\right). \quad (2.22)$$

However, strictly speaking, since we started with the first perturbation in A_0^2 , we should keep only the terms up to order $\sim A_0^2$ in the last formulas. Then they simplify to

$$\rho^2 = 1 - \frac{x^2 + y^2}{8Z^2} - \frac{A_0^2(x^2 - y^2)}{48Z^2} - \frac{1}{16Z^2} + O(A_0^4) + O\left(\frac{1}{Z^3}\right), \quad (2.23)$$

$$\sigma = \frac{C_1 A_0^2}{8} - \frac{A_0^2}{48Z} + \frac{(C_1 - 3C_2)A_0^2(x^2 + y^2)}{128Z^2} + \frac{(C_1 + 32C_3)A_0^2}{256Z^2} + O(A_0^4) + O\left(\frac{1}{Z^3}\right). \quad (2.24)$$

Thus, we finally obtain

$$\Phi = A e^{i\theta}$$

with amplitude and phase given by

$$A \approx \frac{A_0}{4Z} \left(1 - \frac{x^2 + y^2}{16Z^2} - \frac{A_0^2(x^2 - y^2)}{96Z^2} - \frac{1}{32Z^2} \right), \quad (2.25)$$

$$\theta \approx \frac{C_1 A_0^2}{8} + \frac{x^2 - y^2}{4Z} - \frac{A_0^2}{48Z} + \frac{(C_1 - 3C_2)A_0^2(x^2 + y^2)}{128Z^2} + \frac{(C_1 + 32C_3)A_0^2}{256Z^2}. \quad (2.26)$$

The main focusing/defocusing effect of the nonlinearity depends on the term $-\frac{A_0^2(x^2 - y^2)}{96Z^2}$ in the amplitude eq. (2.25). Its sign shows compression in the focusing x -direction and decompression in the defocusing y -direction as expected. (We note that in Fig. 3 of [1] the axes Y and T should be relabelled since there Y is the focusing and T is the defocusing direction.)

The previous formulae imply that, for the Gaussian lump initial condition of moderate amplitude A_0 , we have the following theoretical parameters in the asymptotics:

$$\Lambda_0 = \frac{A_0}{4}, \quad \theta_0 = \frac{C_1 A_0^2}{8} \approx 0.2107 A_0^2, \quad \eta_0 = \Lambda_0^2 - \frac{A_0^2}{48} = \frac{A_0^2}{24}, \quad (2.27)$$

see eq. (1.2A), which we will compare with numerics in subsequent sections.

3 General large Z asymptotics – linear and nonlinear

3.1 Linear case

The linear problem has a similarity solution which describes the central $x - y$ region for long time. Indeed, the linear problem

$$i\partial_Z\Phi + \partial_{xx}\Phi - \partial_{yy}\Phi = 0 \quad (3.1)$$

has a Fourier solution for general initial conditions (IC). Denoting by $\hat{\Phi}(k, l, Z)$ the Fourier component of Φ in xy -space, one gets $\hat{\Phi}(k, l, Z) = \hat{\Phi}_0(k, l)e^{-i(k^2-l^2)Z}$ where $\hat{\Phi}_0(k, l) = \hat{\Phi}(k, l, 0)$ is the Fourier transform of the IC. Then, after rescaling $k \rightarrow k/\sqrt{Z}$ and $l \rightarrow l/\sqrt{Z}$, one can write the inverse Fourier transform restoring $\Phi(x, y, Z)$ as

$$\Phi(x, y, Z) = \frac{1}{4\pi^2 Z} \int \int \hat{\Phi}_0(k/\sqrt{Z}, l/\sqrt{Z}) e^{-i(k^2-l^2)Z} e^{i(kx+ly)/\sqrt{Z}} dk dl, \quad (3.2)$$

which is convenient for expansion in inverse powers of Z . In eq. (3.2), we expand $\hat{\Phi}_0(k/\sqrt{Z}, l/\sqrt{Z})$ in a Taylor series around the origin,

$$\begin{aligned} \hat{\Phi}_0(k/\sqrt{Z}, l/\sqrt{Z}) = & \hat{\Phi}_0(0, 0) + \\ & + \partial_k \hat{\Phi}_0(0, 0) \frac{k}{\sqrt{Z}} + \partial_l \hat{\Phi}_0(0, 0) \frac{l}{\sqrt{Z}} + \frac{\partial_{kk} \hat{\Phi}_0(0, 0) k^2 + 2\partial_{kl} \hat{\Phi}_0(0, 0) kl + \partial_{ll} \hat{\Phi}_0(0, 0) l^2}{2Z} + \dots \end{aligned} \quad (3.3)$$

Similarly we expand the exponent $e^{i(kx+ly)/\sqrt{Z}}$ and get

$$\begin{aligned} \Phi(x, y, Z) = & \frac{1}{4\pi^2 Z} \int \int e^{-i(k^2-l^2)Z} dk dl \left(\hat{\Phi}_0(0, 0) + \partial_k \hat{\Phi}_0(0, 0) \frac{k}{\sqrt{Z}} + \partial_l \hat{\Phi}_0(0, 0) \frac{l}{\sqrt{Z}} + \right. \\ & \left. + \frac{\partial_{kk} \hat{\Phi}_0(0, 0) k^2 + 2\partial_{kl} \hat{\Phi}_0(0, 0) kl + \partial_{ll} \hat{\Phi}_0(0, 0) l^2}{2Z} + \dots \right) \left(1 + \frac{i(kx+ly)}{\sqrt{Z}} - \frac{(kx+ly)^2}{2Z} + \dots \right) \\ = & \frac{1}{4\pi^2 Z} \int \int e^{-i(k^2-l^2)Z} dk dl \left(\hat{\Phi}_0(0, 0) \left(1 - \frac{k^2 x^2 + l^2 y^2}{2Z} \right) + \right. \\ & \left. + \partial_k \hat{\Phi}_0(0, 0) \frac{ik^2 x}{Z} + \partial_l \hat{\Phi}_0(0, 0) \frac{il^2 y}{Z} + \frac{\partial_{kk} \hat{\Phi}_0(0, 0) k^2 + \partial_{ll} \hat{\Phi}_0(0, 0) l^2}{2Z} + O\left(\frac{1}{Z^2}\right) \right), \end{aligned}$$

the last equality being true due to the survival of only even powers of k and l under the integration. This implies asymptotics of the form

$$\Phi(x, y, Z) = \frac{C_0}{Z} \left(1 + \frac{C_1}{Z} + \frac{(C_2 x + C_3 y)}{Z} + \frac{i(x^2 - y^2)}{4Z} + O\left(\frac{1}{Z^2}\right) \right), \quad (3.4)$$

where C_0 , C_1 , C_2 and C_3 are constants depending on the IC $\Phi_0(x, y)$. Exponentiating the expression in the parentheses one finally obtains

$$\Phi(x, y, Z) \approx \frac{C_0}{Z} e^{\frac{i(x^2-y^2)}{4Z} + \frac{C_1}{Z} + \frac{(C_2x+C_3y)}{Z}}, \quad C_0 = \frac{\hat{\Phi}_0(0, 0)}{4\pi},$$

$$C_1 = -\frac{i(\partial_{kk}\hat{\Phi}_0(0, 0) - \partial_{ll}\hat{\Phi}_0(0, 0))}{4\hat{\Phi}_0(0, 0)}, \quad C_2 = \frac{\partial_k\hat{\Phi}_0(0, 0)}{2\hat{\Phi}_0(0, 0)}, \quad C_3 = -\frac{\partial_l\hat{\Phi}_0(0, 0)}{2\hat{\Phi}_0(0, 0)}, \quad (3.5)$$

as the approximate general asymptotic solution with $\hat{\Phi}_0(0, 0) \neq 0$. For symmetric ICs $C_2 = C_3 = 0$. It should be noted that the above derivation requires the initial data in Fourier space to be sufficiently smooth. This is not always the case and later we make a further comment about this, see the remark about noise in Fourier space in the discussion of the numerics.

We see that this solution to the linear problem, which is valid for *all lump type initial conditions with $\hat{\Phi}_0(0, 0) \neq 0$* , is approximately the same as the nonlinear similarity solution eq. (1.2). However we will see that the additional contribution in the phase in eq. (1.2) can make a significant difference. Without this term the error in the phase of the solution can be quite substantial.

3.2 Nonlinear case

If we assume that the solution falls like $1/Z$ at large Z as we observe in all cases numerically, then it is convenient to express $\Phi = \phi/Z$ in the HNLS (1.1). Then HNLS takes form

$$i\partial_Z\phi - \frac{i\phi}{Z} + \partial_{xx}\phi - \partial_{yy}\phi + \frac{|\phi|^2}{Z^2}\phi = 0. \quad (3.6)$$

Next we assume that, at large Z , the solution of eq. (3.6) has the series expansion

$$\phi(x, y, Z) = \sum_{n=0}^{\infty} \frac{\phi_n(x, y)}{Z^n} \quad (3.7)$$

in inverse powers of Z . Substituting eq. (3.7) into eq. (3.6) we find the linear wave equation

$$\partial_{xx}\phi_0 - \partial_{yy}\phi_0 = 0 \quad (3.8)$$

at zeroth order in $1/Z$ which implies that in general $\phi_0(x, y) = \phi_+(x+y) + \phi_-(x-y)$, where functions ϕ_+ and ϕ_- are arbitrary. The next order gives

$$\partial_{xx}\phi_1 - \partial_{yy}\phi_1 = i\phi_0, \quad (3.9)$$

so that, denoting $x_{\pm} = x \pm y$,

$$\phi_1 = \frac{i}{4} \left(x_- \int_0^{x_+} \phi_+(u) du + x_+ \int_0^{x_-} \phi_-(u) du \right) + g_+(x_+) + g_-(x_-), \quad (3.10)$$

with another two arbitrary functions g_+ and g_- . The first term coming from original nonlinearity appears only at second order in $1/Z$ which reads

$$4\partial_{x_+x_-}\phi_2 = 2i\phi_1 - |\phi_0|^2\phi_0. \quad (3.11)$$

Proceeding, we obtain *linear* PDEs of the form $\partial_{x_+x_-}\phi_n = F(\{\phi_j, j < n\})$ allowing one to find in principle each ϕ_n in terms of the previous coefficients of the series (3.7). This shows the consistency of expansion (3.7) at large Z and its generality since we have a sufficient number of arbitrary functions in the solution. However, the universal regime implies that a wide range of initial conditions leads to

$$\phi_0 = \Lambda_0 e^{i\theta_0} = \text{const.} \quad (3.12)$$

rather than the general solution of eq. (3.8). Then the first two terms of eq. (3.10) give the term $\phi_0 \cdot i(x^2 - y^2)/4Z$ which indeed universally appears in the asymptotics. As we also observe numerically, one should take $g_+ + g_- = i(\eta_0 - \Lambda_0^2)\phi_0$ for a large variety of ICs, where η_0 is a real constant. Then, exponentiating the correction ϕ_1 , we obtain

$$\Phi \approx \frac{\Lambda_0}{Z} \exp \left[i \left(\theta_0 + \frac{x^2 - y^2}{4Z} + \frac{\eta_0 - \Lambda_0^2}{Z} \right) \right]. \quad (3.13)$$

The last formula is the corrected self-similar solution (1.2A) which is now seen to be consistent with all our analytical estimates. In what follows with extensive numerical calculations we verify this solution and determine the values of the constants. We will see that this solution is valid in the central zone $s \sim O(1) \ll Z$. This solution is observed for a large class of ICs – virtually all that contain a lump of energy around the center $x = y = 0$.

4 Numerical results

The numerical simulations in this paper employed the ETD2 scheme (exponential time differencing, spectral in space and second-order in time) proposed in [18]. The computation domain is taken to be a square of size L and the number of gridpoints N was chosen such that $L/N = 400/1024 = 600/1536 = 800/2048 = 0.390625$ depending on size requirements. The time (Z) step was 0.01 in these simulations.

Corresponding to each of the initial conditions in the table below there are five figures. In the top row, in the leftmost figure the real part of the numerical solution of eq. (1.1) is plotted in the x, y plane at the final value of time $Z = Z_{max}$ in the simulation. In the top row, center figure, the real part of the exact solution eq. (1.2) is plotted for the same Z with fitted

amplitude and phase constants Λ_0 and θ_0 based on the numerical solution, and the absolute value of their difference is shown next in the top right figure; there is very good agreement in the central spot, for all these initial conditions. The chosen initial conditions include various Gaussian lumps which cover a wide range of parameters – amplitude and the widths along x and y axes, as well as some other functions. In some cases to the initial conditions a small amount of randomness was added (10%). Apart from an expected spread in the slope of the numerical line in the right figure of the bottom row the results are largely the same. This is discussed more fully below.

In the left figure of the bottom row of two figures, the maximum amplitudes of the above two solutions are plotted together versus $\log Z$; in each case they approach each other rather fast as Z grows. The agreement is already excellent when $Z \sim 10$, for the properly chosen amplitude Λ_0 parameter of eq. (1.2) specified to agree with numerics asymptotically at large Z . Thus, the amplitude is well described by the exact similarity solution. The parameter θ_0 in eq. (1.2) was also specified to fit the numerics. In the right figure of the bottom two figures, the differences $\Delta\Theta = \theta - \theta_0 - s$ taken at the center $x = y = 0$, and therefore also $s = 0$, were plotted versus $1/Z$ together for the above two solutions. There one observes gradual approach to a straight line in almost all cases; however, in most cases the *slopes* are seen to be different for the numerical and the exact solution. The slope of the line corresponding to the numeric solution on the center phase plot is equal to $\eta_0 - \Lambda_0^2$, from which, with already known (determined by amplitude fitting) Λ_0 , the parameter η_0 is found.

Thus, the phase exhibits a significant error. This discrepancy is the motivation to consider the corrected approximate analytic solution presented in section 3, with the additional parameter η_0 . It is in turn determined from the numerics.

The parameters computed from the numerical data are presented in the following table (there are more cases presented here than in figures - due to restrictions on space):

| Initial condition | Λ_0 | θ_0 | Z_{max} | $\eta_0 - \Lambda_0^2$ | η_0 |
|--|-------------|------------|-----------|------------------------|----------|
| $e^{-x^2-y^2}$ | 0.25 | 0.21 | 16 | -0.0153 | 0.0472 |
| $2e^{-x^2-y^2}$ | 0.5 | 0.841 | 16 | -0.0751 | 0.1749 |
| $3e^{-x^2-y^2}$ | 0.7296 | 1.84 | 16 | -0.1736 | 0.3587 |
| $3.5e^{-x^2-y^2}$ | 0.6986 | 2.36 | 16 | -0.2521 | 0.2359 |
| $4e^{-x^2-y^2}$ | 0.5216 | 2.71 | 16 | -0.3283 | -0.0562 |
| $0.25 \cdot 4e^{-4x^2-4y^2}$ | 0.25 | 0.053 | 16 | -0.0085 | 0.054 |
| $0.5 \cdot 4e^{-4x^2-4y^2}$ | 0.5 | 0.2115 | 16 | -0.0087 | 0.2413 |
| $2 \cdot 0.5e^{-0.5x^2-0.5y^2}$ | 0.25 | 0.43 | 16 | -0.2203 | -0.1578 |
| $5 \cdot 0.5e^{-0.5x^2-0.5y^2}$ | 0.505 | 2.41 | 16 | -0.091 | 0.164 |
| $5 \cdot 0.2e^{-0.2x^2-0.2y^2}$ | 0.2439 | 1.043 | 16 | -0.286 | -0.2265 |
| $10 \cdot 0.1e^{-0.1x^2-0.1y^2}$ | 0.2449 | 2.01 | 32 | -1.221 | -1.161 |
| $e^{-x^2-2y^2}$ | 0.192 | 0.145 | 16 | 0.0431 | 0.08 |
| $e^{-2x^2-y^2}$ | 0.1768 | 0.145 | 16 | -0.0685 | -0.0372 |
| $0.5(e^{-(x+1)^2-y^2} + e^{-(x-1)^2-y^2})$ | 0.25 | 0.105 | 16 | 0.2464 | 0.3089 |
| $0.5(e^{-x^2-(y+1)^2} + e^{-x^2-(y-1)^2})$ | 0.25 | 0.105 | 16 | -0.232 | -0.1695 |
| $\text{sech}(x^2 + y^2)$ | 0.395 | 0.42 | 24 | -0.0498 | 0.1062 |
| $2e^{-x^2-y^2} \cos(2(x+y))$ | 0.0746 | 0.607 | 16 | -0.0345 | -0.0289 |
| $2.5 \tanh(x^2 - y^2)e^{-x^2-y^2}$ | 0.258 | 0.132 | 16 | -0.0198 | 0.0468 |
| $(x + iy)^4 e^{-x^2-y^2}$ | 0.0021 | -1.45 | 16 | -0.7144 | -0.7144 |

5 Discussion of the results

As one might expect, corresponding to initial conditions with larger energy, whether due to larger amplitude or width, larger Z are required to achieve the same degree of agreement between the numerical and the asymptotic solution described by eq. (1.2) or eq. (1.2A). The actual solution is closer to the exact similarity solution eq. (1.2) (i.e. the correction parameter η_0 is smaller by absolute value) when initially one has a moderate lump of energy with the maximum density at the center. When the initial amplitude is much bigger or the lump is much more narrow than the ones presented in the table/figures, more accurate numerics are required. Previous numerical investigations, e.g. [10, 12, 32], also found that for larger initial amplitudes of HNLS high resolutions are required to obtain reliable results. In this work we do not investigate large or rapidly varying functions. This is in the spirit of the asymptotic derivation of the HNLS equation.

From the numerical values of the parameters presented in the table, one can see that for most initial conditions featuring a localized lump of energy at the center, the parameter η_0 turns out to be of the same order as Λ_0^2 . The absolute value of their difference is smaller for narrower initial beams while it becomes of the same order as the parameters themselves for

initial widths ~ 1 or greater. For input beams of amplitude 1, narrow in one direction and width 1 in the other, we find that $|\eta_0|$ is less than Λ_0^2 .

We see in Figs. 15–20 that an initial lump with additional noise (which was taken to be of moderate amplitude ten times smaller than that of the deterministic part) leads to similar pictures as the corresponding lump without noise. But the amplitude and phase undergo random shifts so that after many (100) realizations we obtain thick curves for the numerical amplitude and especially numerical phase. The amplitude shifts due to the randomness are smaller (cf. the numerical curves in figs. 15–20). The average amplitude and phase are consistent with the corresponding values without noise. When the noise is added to every spatial grid point, it creates relatively large effective gradients which lead to the wide spread of the phase curves around the average. The amplitude curves, however, remain close to the mean curve even in this case. As different realizations of the random noise present the various possible initial conditions in a neighborhood of their average, these results are especially indicative of the main point we emphasize: essentially all initial conditions without large gradients lead to the same universal asymptotic regime that we exposed here.

Remark. The picture turns out to be very different if one adds a similar type of noise in the spectral (Fourier) space instead. Then the asymptotics become drastically modified and we observe oscillations of significant amplitude. This occurs for both the HNLS eq. and its linearized version. Therefore the discrepancy with the asymptotics eq. (1.2A) can be understood looking at the derivation of the asymptotic formula for the linear case in section 3.1. There it was necessary for the Fourier transform of the IC to be smooth enough in order for its Taylor expansion at the origin in spectral space to be valid. The spectral noise, however, makes this function rough. In contrast, for the noise in physical space considered above, the IC in Fourier space turns out to be smooth which explains the discrepancy in the large Z behavior.

If there is a hole rather than a lump at the center of the xy -plane in the initial condition, then one sees the hole spreading at later times and the values of the solution become tiny around the origin. Still, even in such cases where the most energy is well away from the center, the hyperbolic structure of the solution (1.2) can be observed (see fig. 14, the initial condition $(x + iy)^4 e^{-x^2 - y^2}$). This phenomenon is a feature of both the full HNLS equation and its linearized version.

For relatively small initial amplitudes, the reshaping of the wave packet can be well described by considering nonlinearity as a perturbation to the linearized equation. This way one can quantitatively understand the dumbbell shapes often observed forming from the initial round beam both in two and three spatial dimensions [33, 34, 32, 12, 1]. We analyzed this situation in section 2 for an initial Gaussian beam and showed, as expected, that the beam is compressed in the focusing x -direction and decompressed in the defocusing y -direction. For the Gaussian beam of small or moderate amplitude, we have theoretical values, in the first perturbative approximation in the initial amplitude A_0 , for the parameters Λ_0 , θ_0 and η_0 , see

eq. (2.27). Their numerical values are presented in the table below:

| A_0 | Λ_0 | θ_0 | η_0 |
|-------|-------------|------------|----------|
| 1 | 0.25 | 0.2107 | 0.0417 |
| 2 | 0.5 | 0.8429 | 0.1667 |
| 3 | 0.75 | 1.8965 | 0.375 |
| 4 | 1 | 3.3715 | 0.6667 |

One sees that there is a relatively good agreement with the numerical results up to $A_0 \sim 3$, and for larger A_0 it rapidly worsens. Still the qualitative agreement with the universal regime eq. (1.2A) often exists even for larger amplitudes.

An interesting observation is that in the HNLS with initial lump of energy that is large/wide, rings of low amplitude are observed to develop and move away from the center. Later they can disconnect, reconnect with parts of other structures in various ways forming intricate patterns. These complex processes or sometimes a simpler initial deformation of the wave beam (energy lump) are followed later by outbursts of energy from the central region to both directions of the defocusing coordinate axis (y -axis in our case) corresponding to beam splitting.

Thus, if the initial condition has relatively large energy or is substantially different from a single packet of small energy, much more complicated pictures than we show in this paper appear at intermediate times $Z \sim 0.5 - 5$ and often persist to larger Z . Still on the edges of these sometimes exotic patterns one clearly sees the development of the same familiar hyperbolic structure described above. Based on our numerical findings the universal regime with a central hyperbolic structure eventually develops even for initial lumps of relatively large amplitude. We believe that the phenomena discussed in literature like spiky hyperbolic structures numerically observed in [32, 12, 13, 55, 56] as well as observed X-waves [15, 16, 17, 36, 30] correspond to intermediate regimes just at the onset of the hyperbolic long-time asymptotic structure, at least in the (2+1)-D case considered here. We expect these waves to eventually develop into the universal regime, perhaps with many centers as we also observed in our simulations. We also note that X-waves are known to appear in both linear and nonlinear situations; this can be also be said about our universal hyperbolic structure.

6 Conclusion

The main conclusion is that the similarity solution eq. (1.2) with the corrections described by eq. (1.2A) appears universally in the central zone of the HNLS at long times/large propagation distances. As long as there are no large or rapidly varying initial data the universal regime outlined here is expected to be observed in the long-time limit. This universal behavior also may help select among many existing large energy solutions of the HNLS equation at

intermediate times; this also might be relevant to the transient behavior observed in different types of beam propagation.

Our results are supported by analytical estimates and numerical computations. Analytically we consider the nonlinear term as a perturbation of gaussian initial conditions and consider linear and nonlinear problems via their long time limits. Numerically we consider a wide range of initial conditions including random initial data. We also investigate the averaged variational method in the context of a Gaussian ansatz in Appendix B. We find that while the method reproduces the similarity solution (1.2) it does not reproduce the important modification of the phase in (1.2A).

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Appendix A: Some exact reductions of (2+1)-D HNLS

A larger class of reductions of the HNLS eq. (1.1) is obtained if we consider the following ansatz. Letting $\Phi = Ae^{i\theta}$ with

$$A = \frac{\Lambda(\xi, \eta)}{R(Z)}, \quad \theta = \sigma(\xi, \eta) + \frac{(\alpha_1 Z + \alpha_0)\xi^2}{4} + \frac{(\beta_1 Z + \beta_0)\eta^2}{4} + \frac{(\gamma_1 Z + \gamma_0)\xi\eta}{2} + (\mu_1 Z + \mu_0 + \mu_* R)\xi + (\nu_1 Z + \nu_0 + \nu_* R)\eta + h(z), \quad (A1)$$

where

$$\xi = \frac{C_{11}x + C_{12}y}{R(Z)} + \xi_0(Z), \quad \eta = \frac{C_{21}x + C_{22}y}{R(Z)} + \eta_0(Z), \quad (A2)$$

$$R^2(Z) = HZ^2 + H_1Z + R_0^2, \quad \alpha_1 = -\frac{C_2 H}{\Delta^2}, \quad \beta_1 = -\frac{C_1 H}{\Delta^2}, \quad \gamma_1 = \frac{C_3 H}{\Delta^2}, \quad (A3)$$

$$C_1 = C_{11}^2 - C_{12}^2, \quad C_2 = C_{21}^2 - C_{22}^2, \quad C_3 = C_{11}C_{21} - C_{12}C_{22},$$

$$\Delta^2 = C_3^2 - C_1C_2 = (C_{11}C_{22} - C_{12}C_{21})^2 \neq 0. \quad (A4)$$

All coefficients in the above formulae are constant except those with explicitly given Z dependence. When eq. (A1) is substituted into eq. (1.1), its imaginary part eq. (B1) multiplied by $\Lambda(\xi, \eta)$ becomes a conservation law,

$$\partial_\xi[\Lambda^2(C_1\partial_\xi\sigma + C_3\partial_\eta\sigma + C_4\xi + C_6\eta + C_8)] + \partial_\eta[\Lambda^2(C_3\partial_\xi\sigma + C_2\partial_\eta\sigma + C_5\xi + C_7\eta + C_9)] = 0, \quad (A5)$$

where the newly introduced constants are

$$\begin{aligned} C_4 &= \frac{C_1\alpha_0 + C_3\gamma_0 - H_1/2}{2}, & C_5 &= \frac{C_3\alpha_0 + C_2\gamma_0}{2}, \\ C_6 &= \frac{C_1\gamma_0 + C_3\beta_0}{2}, & C_7 &= \frac{C_2\beta_0 + C_3\gamma_0 - H_1/2}{2}. \end{aligned} \quad (A6)$$

The constants C_8 and C_9 are at this point arbitrary and functions $\xi_0(Z)$, $\eta_0(Z)$ are determined by equations whose solutions are written out later. The real part of eq. (1.1), after the substitution of ansatz (A1) then yields

$$\begin{aligned} &C_1[\partial_{\xi\xi}\Lambda - \Lambda(\partial_\xi\sigma)^2] + C_2[\partial_{\eta\eta}\Lambda - \Lambda(\partial_\eta\sigma)^2] + 2C_3[\partial_{\xi\eta}\Lambda - \Lambda\partial_\xi\sigma\partial_\eta\sigma] + \\ &+ \Lambda[\Lambda^2 - 2(C_4\xi + C_6\eta + C_8)\partial_\xi\sigma - 2(C_5\xi + C_7\eta + C_9)\partial_\eta\sigma - K_1\xi^2 - K_2\eta^2 - K_3\xi\eta - K_4\xi - K_5\eta - K_6] = 0, \end{aligned} \quad (A7)$$

where now C_8 and C_9 must satisfy

$$HC_8 = H(C_1\mu_0 - C_3\nu_0) + H_1(C_3\nu_1 - C_1\mu_1)/2, \quad HC_9 = H(C_2\nu_0 - C_3\mu_0) + H_1(C_3\mu_1 - C_2\nu_1)/2, \quad (A8)$$

and constants K_i , $i = 1, \dots, 5$ are given by

$$4K_1 = C_1\alpha_0^2 + C_2\gamma_0^2 + 2C_3\alpha_0\gamma_0 - \alpha_0H_1 + \alpha_1R_0^2, \quad (A9)$$

$$4K_2 = C_1\gamma_0^2 + C_2\beta_0^2 + 2C_3\beta_0\gamma_0 - \beta_0H_1 + \beta_1R_0^2, \quad (A10)$$

$$2K_3 = C_1\alpha_0\gamma_0 + C_2\beta_0\gamma_0 + C_3(\alpha_0\beta_0 + \gamma_0^2) - \gamma_0H_1 + \gamma_1R_0^2, \quad (A11)$$

$$2K_4 = 2(\alpha_0C_8 + \gamma_0C_9) - \mu_0H_1 + 2\mu_1R_0^2, \quad 2K_5 = 2(\gamma_0C_8 + \beta_0C_9) - \nu_0H_1 + 2\nu_1R_0^2, \quad (A12)$$

and constant K_6 is arbitrary. Finally, after using eq. (A8), the functions ξ_0 and η_0 take form

$$\xi_0(Z) = \frac{2C_3(\nu_1H_1 - 2\nu_0H)}{HR(Z)} \int^Z \frac{dZ}{R(Z)} - \frac{2(C_1\mu_* + C_3\nu_*)Z}{R(Z)} - \frac{2(C_1\mu_1 + C_3\nu_1)}{H},$$

$$\eta_0(Z) = \frac{2C_3(\mu_1 H_1 - 2\mu_0 H)}{H R(Z)} \int^Z \frac{dZ}{R(Z)} - \frac{2(C_3\mu_* + C_2\nu_*)Z}{R(Z)} - \frac{2(C_3\mu_1 + C_2\nu_1)}{H}, \quad (A13)$$

and function $h(Z)$ can be found from

$$R^2 h'(Z) = C_1(\mu_1 Z + \mu_0 + \mu_* R)^2 + C_2(\nu_1 Z + \nu_0 + \nu_* R)^2 + 2C_3(\mu_1 Z + \mu_0 + \mu_* R)(\nu_1 Z + \nu_0 + \nu_* R) - 2C_8(\mu_1 Z + \mu_0 + \mu_* R) - 2C_9(\nu_1 Z + \nu_0 + \nu_* R) + K_6. \quad (A14)$$

Further reduction of eqs. (A5) and (A7) to ODEs is achieved if e.g. one takes $\Lambda = \Lambda(\xi)$ and $\sigma = \sigma(\xi)$ (without loss of generality, taking functions of η only gives identical results up to relabeling of constant parameters). Then eq. (A5) reduces to

$$[\Lambda^2(C_1\sigma' + C_4\xi + C_8)]' + C_7\Lambda^2 = 0, \quad (A15)$$

where prime now means $d/d\xi$, together with the further restriction $C_6 = 0$, i.e. $C_1\gamma_0 + C_3\beta_0 = 0$. Eq. (A7) then implies that $K_2 = K_3 = K_5 = 0$ and reduces to

$$C_1[\Lambda'' - \Lambda(\sigma')^2] + \Lambda[\Lambda^2 - 2(C_4\xi + C_8)\sigma' - K_1\xi^2 - K_4\xi - K_6] = 0. \quad (A16)$$

Introducing function $U(\xi)$ such that $U' = \Lambda^2$ allows one to integrate eq. (A15) once and get

$$U'(C_1\sigma' + C_4\xi + C_8) + C_7U = C_I = \text{const.}, \quad (A17)$$

where C_I is an arbitrary constant. Plugging σ' from eq. (A17) into eq. (A16) multiplied by 2Λ finally yields a third-order ODE for U ,

$$C_1 \left(U''' - \frac{(U'')^2}{2U'} \right) + 2(U')^2 + 2 \left((C_4^2 - K_1)\xi^2 + (2C_4C_8 - K_4)\xi + C_8^2 - K_6 \right) U' - \frac{2(C_I - C_7U)^2}{U'} = 0. \quad (A18)$$

For special values of the parameters this ODE can be reduced to the Painlevé IV, II or I equations as well as to ODEs for elliptic functions and their elementary degenerations. The above reductions cover the majority of the cases considered in [52, 23, 22, 21, 14, 38, 28]. Such reductions might be valuable in studying various intermediate regimes of the HNLS equation such as those related to descriptions of rogue waves [31, 45].

Appendix B: the approximate solution of HNLS corresponding to the Gaussian variational ansatz

Expressing $\Phi = Ae^{i\theta}$ in the HNLS eq. (1.1), we can rewrite the HNLS equation as two real equations for the amplitude A and the phase θ ,

$$\partial_Z A + A(\partial_{xx}\theta - \partial_{yy}\theta) + 2(\partial_x A \partial_x \theta - \partial_y A \partial_y \theta) = 0, \quad (B1)$$

$$A\partial_Z \theta = \partial_{xx}A - \partial_{yy}A - A((\partial_x \theta)^2 - (\partial_y \theta)^2) + A^3. \quad (B2)$$

If, in accordance with the Gaussian variational ansatz of [7, 45], we substitute the expressions

$$A = \frac{\Lambda_0}{\sqrt{L(Z)R(Z)}} e^{-\frac{x^2}{L^2(Z)} - \frac{y^2}{R^2(Z)}}, \quad \Lambda_0 = \text{const.}, \quad L(Z) > 0, \quad R(Z) > 0, \quad (B3)$$

$$\theta = U(Z)x^2 + V(Z)y^2 + \sigma(Z), \quad (B4)$$

we find that eq. (B1) is satisfied exactly if

$$U(Z) = \frac{1}{4L} \frac{dL}{dZ}, \quad V(Z) = -\frac{1}{4R} \frac{dR}{dZ}. \quad (B5)$$

As for eq. (B2), it cannot be satisfied exactly this way. However, it can be satisfied approximately, in two different regions of xy -plane. First, in the region $|x| \lesssim L(Z), |y| \lesssim R(Z)$, eq. (B2) is satisfied if the scaling functions $L(Z)$ and $R(Z)$ satisfy the system found in [7, 45] from variational principle,

$$\frac{d^2 L}{dZ^2} = \frac{16}{L^3} - \frac{8\Lambda_0^2}{L^2 R}, \quad \frac{d^2 R}{dZ^2} = \frac{16}{R^3} + \frac{8\Lambda_0^2}{LR^2}, \quad (B6)$$

where the function $\sigma(Z)$ in eq. (B4) is chosen so that

$$\frac{d\sigma}{dZ} = \frac{\Lambda_0^2}{LR} - \frac{2}{L^2} + \frac{2}{R^2}, \quad (B7)$$

and we use the approximation

$$e^{-\frac{x^2}{L^2(Z)} - \frac{y^2}{R^2(Z)}} \approx 1 - \frac{x^2}{L^2(Z)} - \frac{y^2}{R^2(Z)}, \quad (B8)$$

valid in the stated region. Similarly, in the region $|x| \gg L(Z), |y| \gg R(Z)$, eq. (B2) can be approximately satisfied if one uses there eqs. (B6) and (B7) with $\Lambda_0 = 0$ in them and approximates the exponent in eq. (B8) by zero i.e. neglects the last term A^3 in eq. (B2). Thus, the error of the approximation here is bounded above by

$$\left| e^{-\frac{x^2}{L^2(Z)} - \frac{y^2}{R^2(Z)}} - 1 + \frac{x^2}{L^2(Z)} + \frac{y^2}{R^2(Z)} \right| \leq \frac{1}{2} \left(\frac{x^2}{L^2(Z)} + \frac{y^2}{R^2(Z)} \right)^2, \quad (B9)$$

which yields information about the nature of the approximation of the the variational ansatz for this class of problems [7, 45].

Considering the large Z asymptotics of the approximate solution given by eqs. (B3)–(B8) in the central region, one can see that they are compatible with the the scales $L(Z)$ and $R(Z)$ changing as $L(Z) \sim Z + O(1)$ and $R(Z) \sim Z + O(1)$, i.e. both approaching Z . This corresponds to the amplitude decreasing as $1/Z$ which is consistent with all our found asymptotics. Besides, as follows from eqs. (B4), (B5) and (B7), under such symmetric along x and y asymptotic scaling the phase of the solution behaves as

$$\theta = \theta_0 + \frac{x^2 - y^2}{4Z} - \frac{\Lambda_0^2}{Z} + O\left(\frac{1}{Z^2}\right).$$

The last expression shows that the important parameter η_0 , see eq. (1.2A), which we found both analytically and numerically, is missing here. It could be recovered if we consider asymmetric scaling at large Z i.e. $L(Z) \sim C_1 Z$ and $R(Z) \sim C_2 Z$ with constants $C_1 \neq C_2$. However, in section 2 we found nonzero η_0 for symmetric scales which follow from symmetric Gaussian IC. The kind of approximate solution presented here, and thus also the Gaussian variational ansatz of [7, 45], misses this possibility which demonstrates its serious limitations. Exact similarity solutions from appendix A are more flexible in this respect as are their two-scale generalizations which we plan to consider elsewhere.

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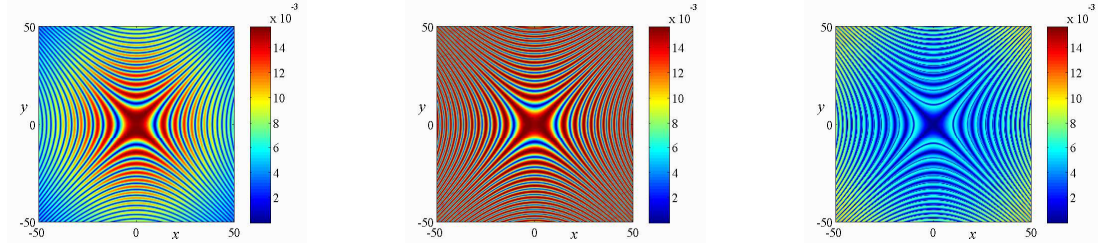


Figure 1: Top: Initial condition $e^{-x^2-y^2}$: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.

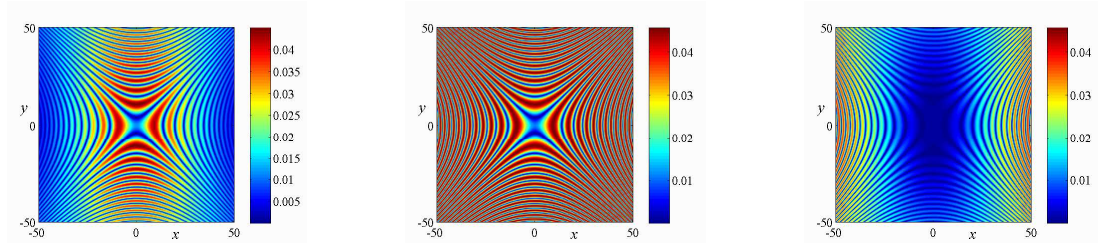
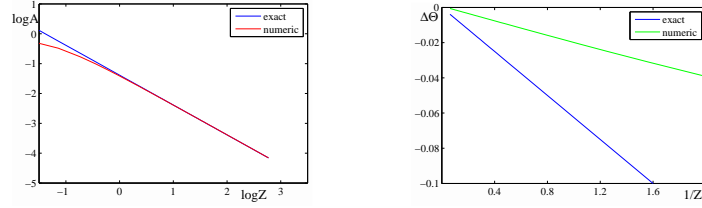
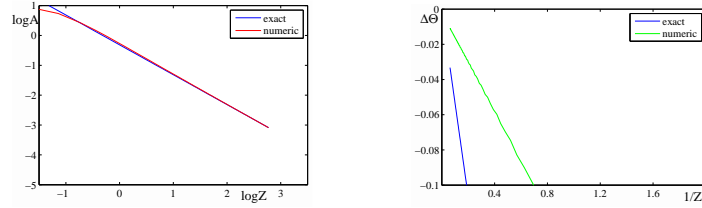


Figure 2: Top: Initial condition $3e^{-x^2-y^2}$: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.



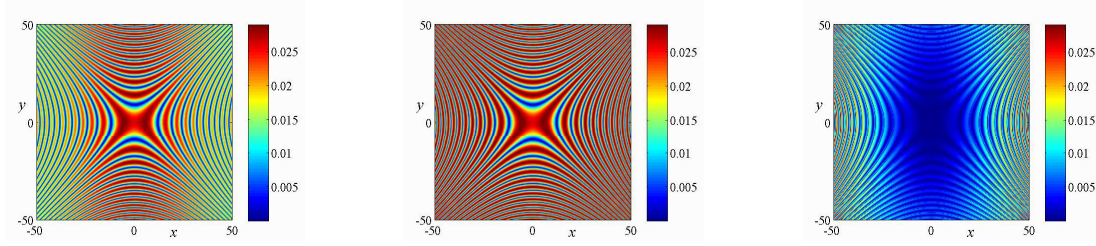


Figure 3: Top: Initial condition $4e^{-x^2-y^2}$: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.

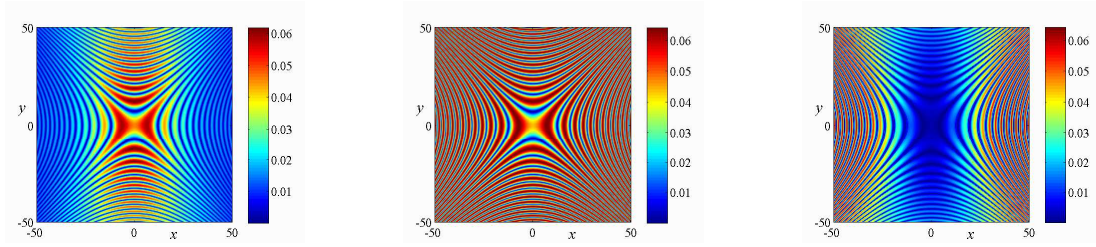
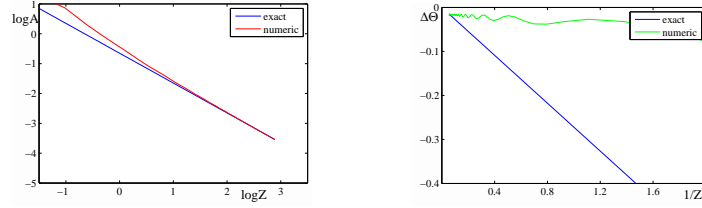
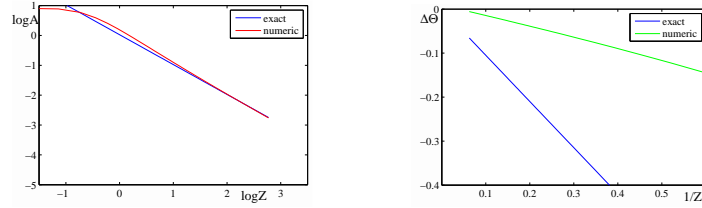


Figure 4: Top: Initial condition $2.5e^{-0.5x^2-0.5y^2}$: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.



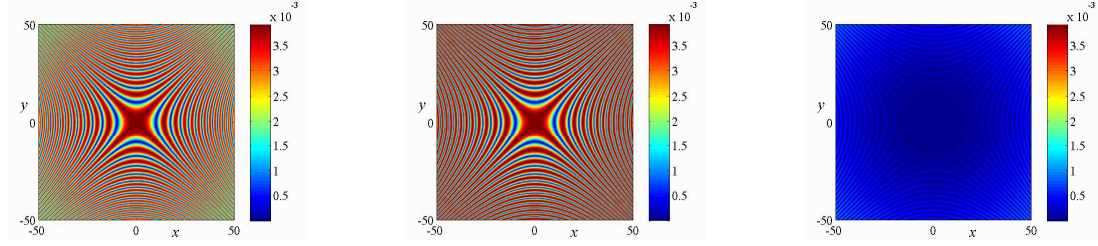


Figure 5: Top: Initial condition $e^{-4x^2-4y^2}$: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.

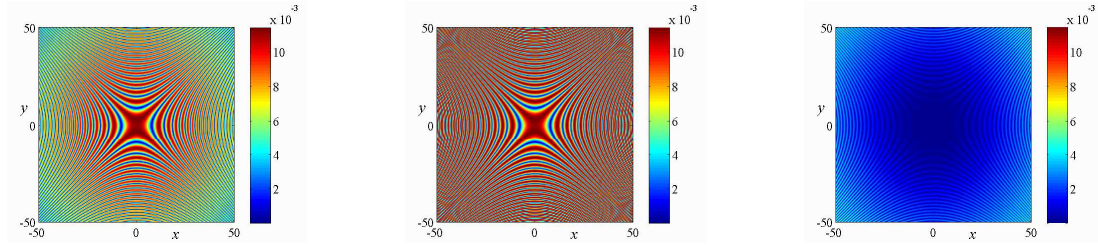
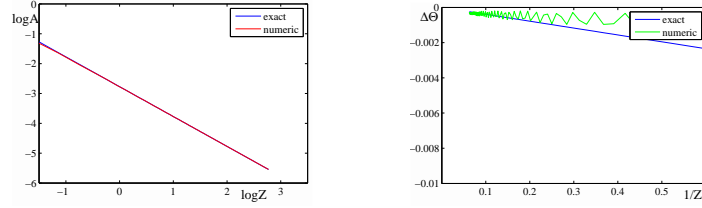
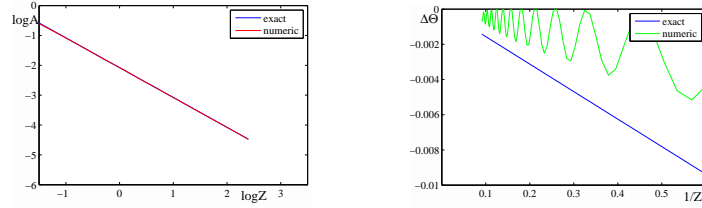


Figure 6: Top: Initial condition $2e^{-4x^2-4y^2}$: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.



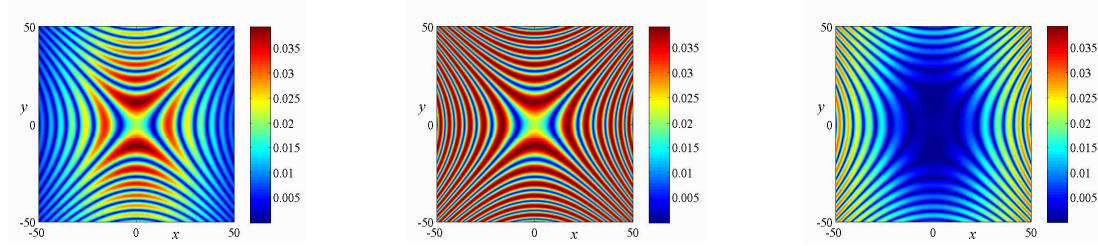


Figure 7: Top: Initial condition $e^{-0.2x^2-0.2y^2}$: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 24$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.

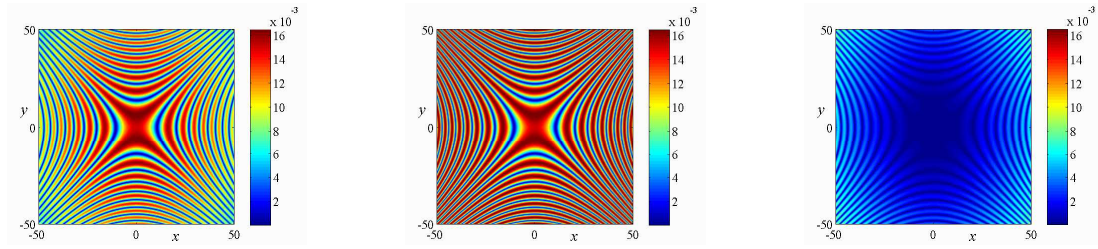
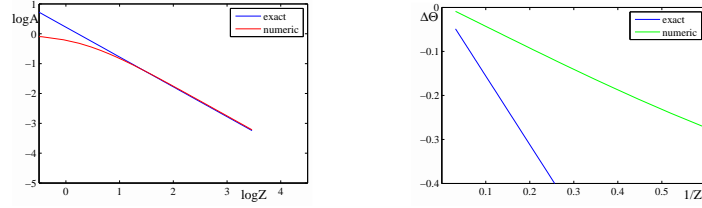
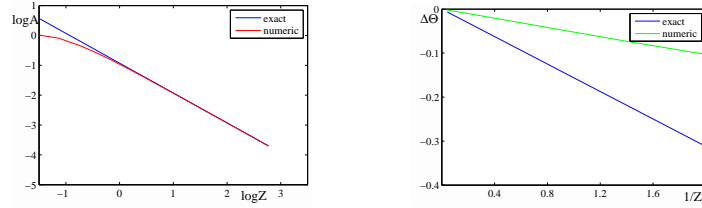


Figure 8: Top: Initial condition $\text{sech}(x^2 + y^2)$: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 24$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.



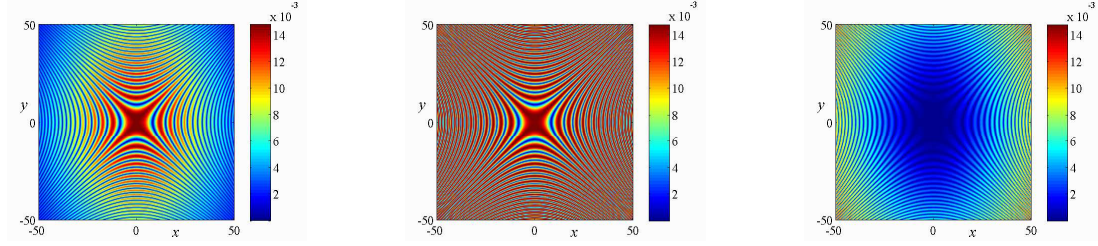


Figure 9: Top: Initial condition $e^{-x^2-2y^2}$: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.

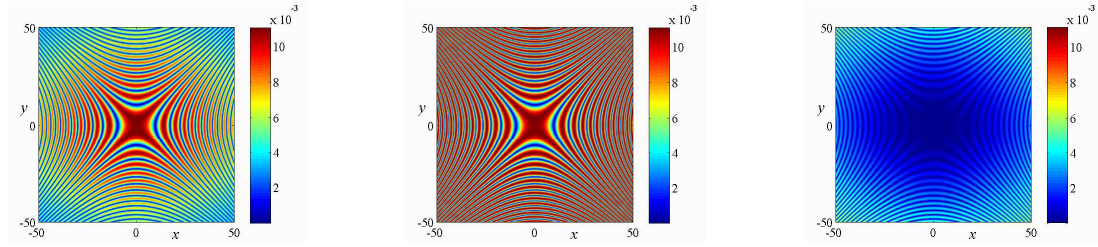
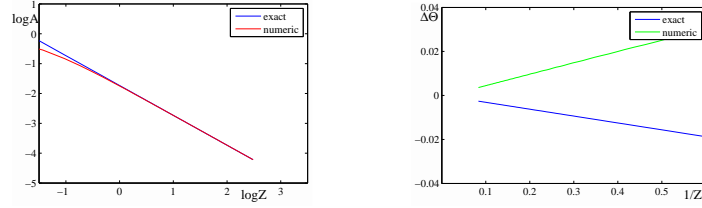
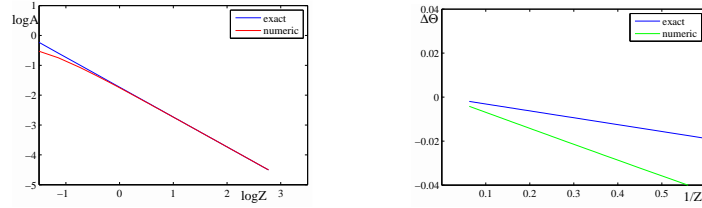


Figure 10: Top: Initial condition $e^{-2x^2-y^2}$: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.



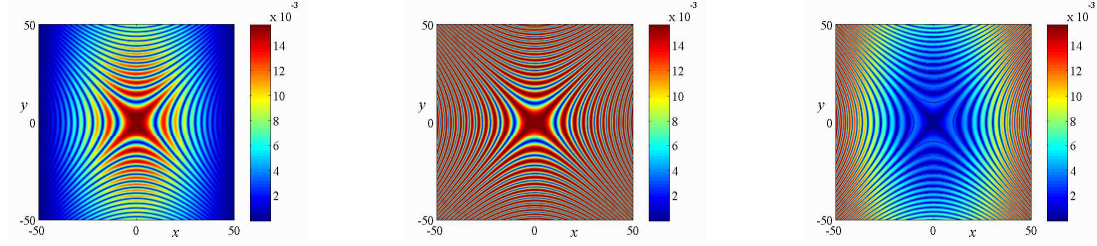


Figure 11: Top: Two Gaussian peaks $0.5(e^{-(x+1)^2-y^2} + e^{-(x-1)^2-y^2})$ initial condition: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.

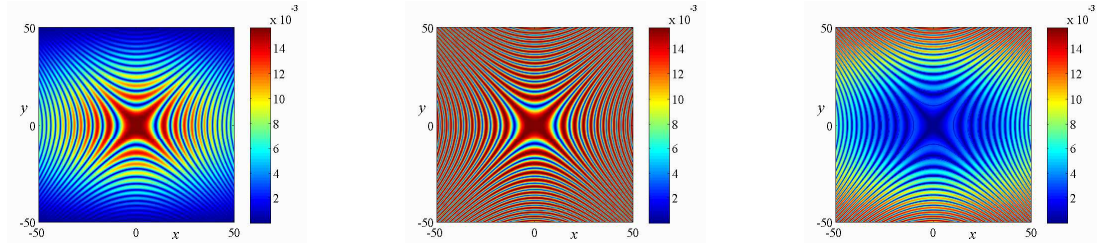
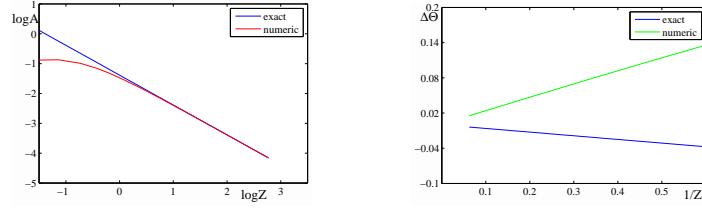
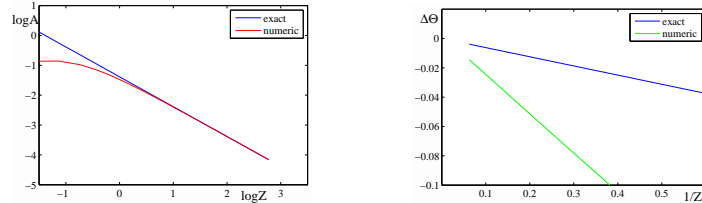


Figure 12: Top: Two Gaussian peaks $0.5(e^{-x^2-(y+1)^2} + e^{-x^2-(y-1)^2})$ initial condition: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.



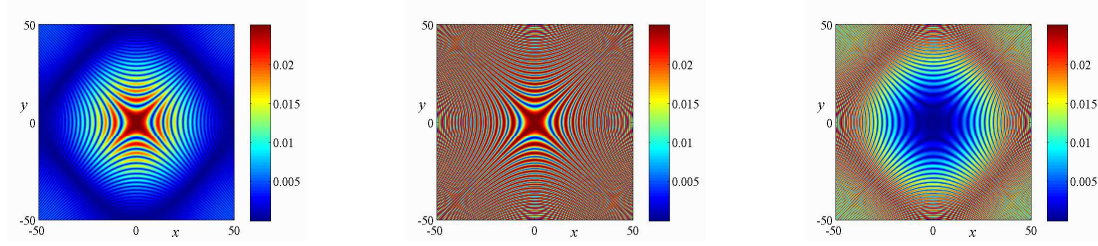


Figure 13: Top: Initial condition $2.5 \tanh(|x^2 - y^2|)e^{-x^2 - y^2}$: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.

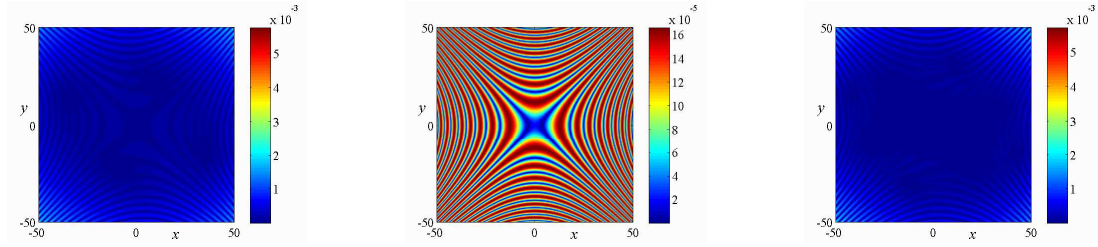
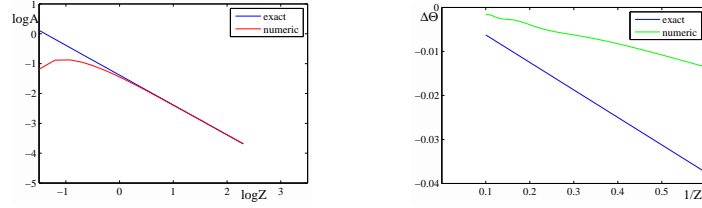
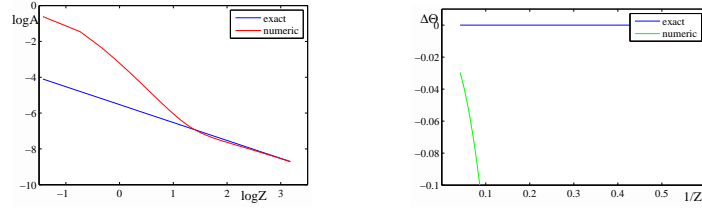


Figure 14: Top: Initial condition $(x + iy)^4 e^{-x^2 - y^2}$: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 24$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.



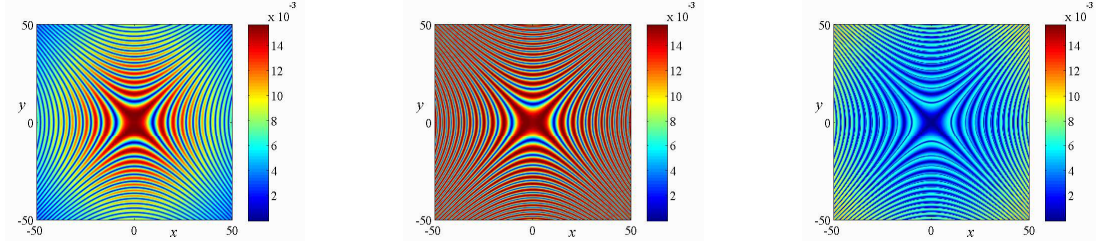


Figure 15: Top: Initial condition $e^{-x^2-y^2}(1+0.1\text{randn})$, noise at every 16th gridpt.: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.

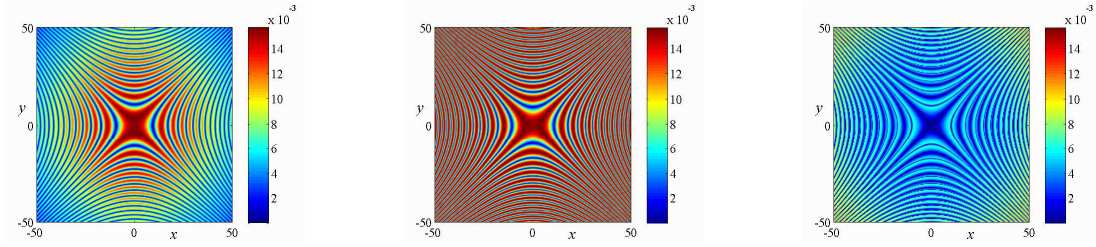
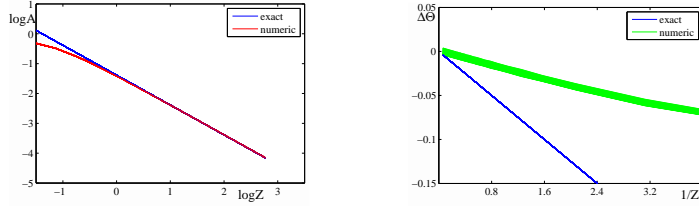
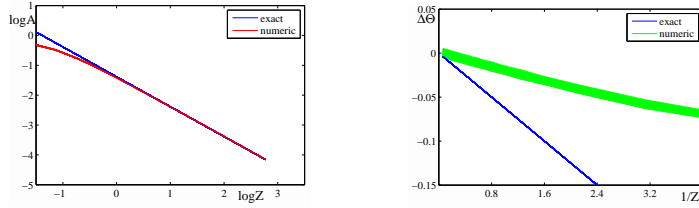


Figure 16: Top: Initial condition $e^{-x^2-y^2}(1+0.1\text{randn})$, noise at every 8th gridpt.: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.



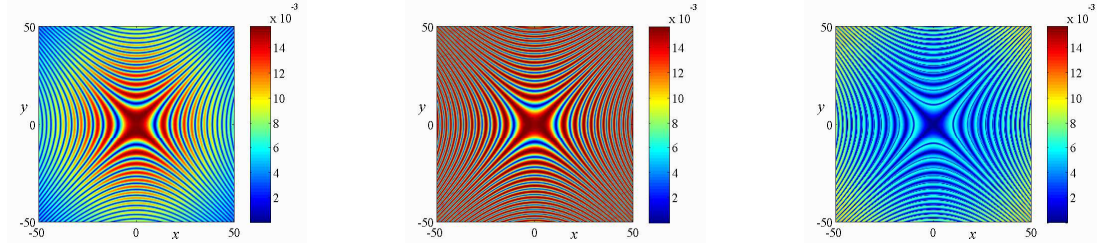


Figure 17: Top: Initial condition $e^{-x^2-y^2}(1 + 0.1\text{randn})$, noise at every gridpt.: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.

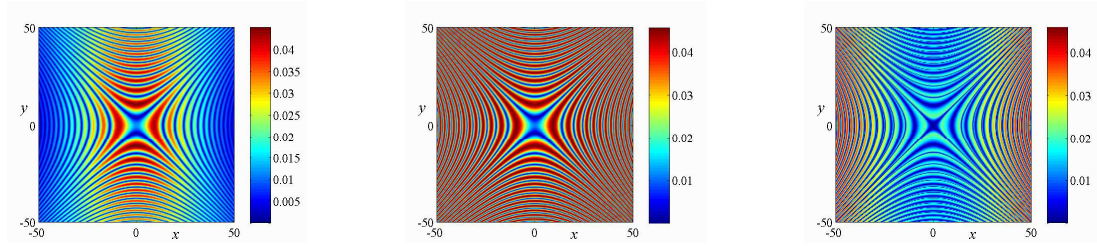
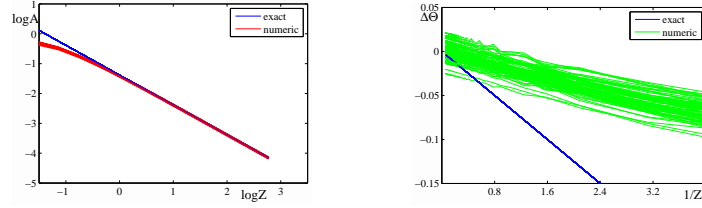
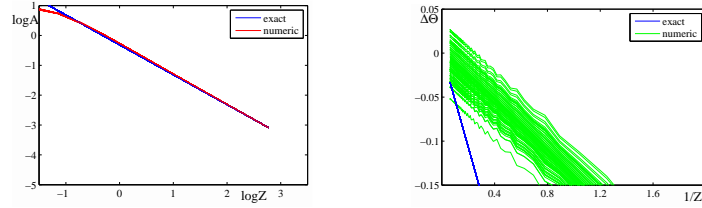


Figure 18: Top: Initial condition $3e^{-x^2-y^2}(1 + 0.1\text{randn})$, noise at every 8th gridpt.: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 16$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.



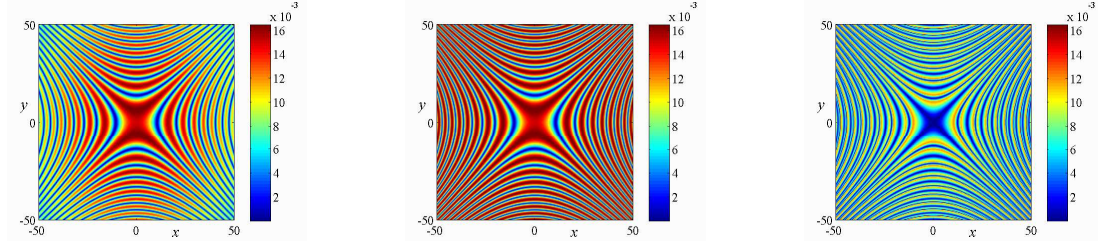


Figure 19: Top: Initial condition $\text{sech}(x^2 + y^2)(1 + 0.1\text{randn})$, noise at every 16th gridpt.: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 24$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.

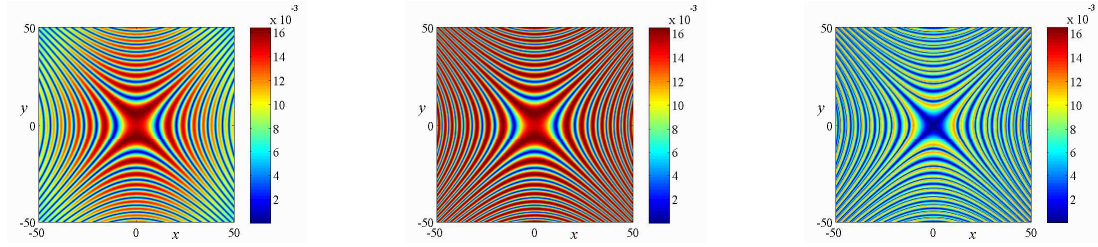
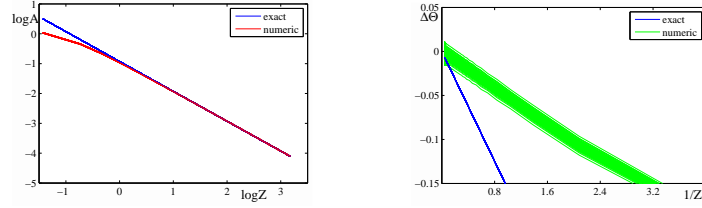


Figure 20: Top: Initial condition $\text{sech}(x^2 + y^2)(1 + 0.1\text{randn})$, noise at every gridpt.: Numerical solution, Exact similarity solution and absolute value of their difference at $Z = 24$. Bottom: Log-amplitude vs. $\log Z$, $\Delta\theta = \theta - \theta_0 - s$ vs. $1/Z$.

